



SECTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----ooo:O:ooo-----

Application of :
Takashi NIWA et al. :
Serial No. 09/740,664 :
Filed: December 19, 2000 :
For: NEAR-FIELD OPTICAL PROBE :
AND MANUFACTURING METHOD :
FOR SAME, AND NEAR-FIELD :
OPTICAL APPARATUS USING :
THE NEAR-FIELD PROBE : Docket No. S004-4168

-----ooo:O:ooo-----

COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, DC 20231

Attention: Box Missing Parts

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NON-PROVISIONAL APPLICATION**

S I R:

On March 9, 2001, the PTO issued a Notice To File Missing Parts Of Nonprovisional Application ("Notice") advising that the application was filed without a declaration and providing a two-month period in which to submit the declaration and surcharge for late filing thereof.

In response to the PTO Notice, a duly signed declaration is submitted herewith together with a check in the sum of \$130.00 to cover the required surcharge for the late

**MAILING CERTIFICATE ON
LAST PAGE**

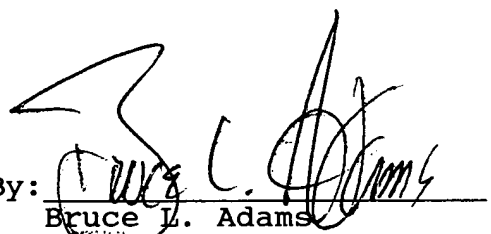
filing of the declaration. Also submitted is a copy of the PTO Notice. Should the check prove insufficient for any reason, authorization is hereby given to charge any such deficiency to Deposit Account No. 01-0268.

It is respectfully requested that the processing of the application be completed, and that the filing receipt be issued in due course.

Respectfully submitted,

ADAMS & WILKS
Attorneys for Applicants

By:


Bruce L. Adams
Reg. No. 25,386

50 Broadway
31st Floor
New York, NY 10004
(212) 809-3700

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on the date indicated below.


Bruce L. Adams

Attorney Name

Signature

March 22, 2001

Date





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/740,664	12/19/2000	Niwa Takashi	S004-4168

CONFIRMATION NO. 3496

FORMALITIES LETTER



OC000000005843527

ADAMS & WILKS
31st Floor
50 Broadway
New York, NY 10004

Date Mailed: 03/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/28/2001 CCHAU1 00000089 09740664

01 FC:105

130.00 DP